

PATENT

#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of  
Itta et al.For: **FOLDABLE AND REMOTELY  
IMAGEABLE BALLOON**

Serial No.: 10/002,406

Filed: November 1, 2001

Docket No.: 9688-1000

) Examiner: Not Assigned

) Group Art Unit: 3763

) Customer No.: 23422

) **RESPONSE TO NOTICE TO FILE**  
) **MISSING PARTS OF APPLICATION**Express Mail Label No.: EV 000221157 US  
Mailed on March 22, 2002BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

1. In response to the Notice to File Missing Parts of Application *Filing Date Granted* mailed on January 22, 2002, transmitted herewith are the following:

- ☒ Part 2 of the Notice;  
☒ A signed Declaration and Power of Attorney (3 pages);  
☒ Assignment with Recordation Form Cover Sheet (PTO-1595);  
☒ Preliminary Amendment;  
☒ Substitute drawings in compliance with 37 CFR 1.84 (2 sheets) in triplicate;  
☒ Request for Correction of Filing Receipt; and  
☒ A Return Receipt Postcard.

2. Additional Fees: Basic filing fee (small entity) Fee Code 201 ..... \$ 370  
Missing Parts Surcharge Fee Code 205 ..... 65  
3. Other Fees: Assignment Recordation Fee Code 581 ..... 40  
**Total Fees Due.....\$ 475**

4. Payment of Fees:

- ☒ Enclosed is a check for the total fees due in the amount of \$475.00.  
☒ The Commissioner is hereby authorized to charge any additional fees and to credit any overpayment of fees which may be required in this application under 37 CFR §1.16 and §1.17, to Deposit Account No. 13-0201, referencing Atty. Docket No. 9688-1000. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By: 

Edward J. Lynch, Reg. No. 24,422

Coudert Brothers LLP  
600 Beach Street, 3<sup>rd</sup> Floor  
San Francisco, CA 94109  
**Direct Dial: (415) 351-5708**  
Telephone: (415) 351-2900  
Facsimile: (415) 351-7400

10002406-032202



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the application of  
*Aita et al.*

For: **FOLDABLE AND REMOTELY  
IMAGEABLE BALLOON**

Serial No.: 10/002,406

Filed: November 1, 2001

Docket No.: 9688.1000

) Examiner: Not yet assigned

) Group Art Unit: 3763

) Customer No. 23,422

) **SUBMISSION OF**  
) **FORMAL DRAWINGS**

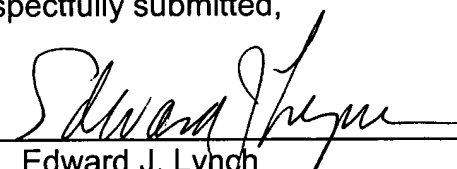
Express Mail Label No.: EV 000221157 US  
Mailed in San Francisco, CA on March 22, 2002

Commissioner of Patents  
U. S. Patent and Trademark Office  
Washington, D.C. 20231  
**Attn: Official Draftsman**

Dear Sir:

Enclosed herewith are two (2) sheets of redlined drawings amending Figures 1, 3, 4, 5, and 6 in connection with the above-identified application. Also transmitted herewith are two (2) sheets of formal drawings, which incorporate the corrections to Figures 1, 3, 4, 5, and 6. For the Examiner's convenience these formal drawings are submitted in triplicate and in photocopy form as expressly provided by MPEP §608.02.

Respectfully submitted,

By:   
Edward J. Lynch  
Registration No. 24,422  
Attorney for Applicants

Coudert Brothers LLP  
600 Beach Street, 3<sup>RD</sup> Floor  
San Francisco, CA 94109  
**Direct Dial: (415) 351-5708**  
Facsimile: (415) 409-7400

03-25-02

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MP/  
\$  
#5



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Alta et al.

Examiner: Not yet assigned

Group Art Unit: 3763

Customer No.: 23422

For: **FOLDABLE AND REMOTELY  
IMAGEABLE BALLOON**

Serial No.: 10/002,406

Filed: November 1, 2001

Docket No.: 9688.1000

Express Label No.: EV 000221157US  
Mailed on March 22, 2002

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified application. Applicants hereby request issuance of a corrected Filing Receipt.

Please correct the following error:

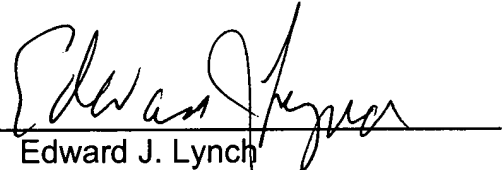
Please change "**Assignment for Published Patent Application ADVANCE  
CARDIOVASCULAR SYSTEMS, INC.**" to **--Assignment for Published Patent  
Application** **CARDIO EXODUS PARTNERS, SHOREWOOD, WISCONSIN--**

Enclosed herewith for filing is the Form PTO-1595 Recordation Form Cover Sheet and executed Assignment in the above-identified application.

The error was made by the Patent Office and, therefore, the fee for correction is not enclosed. However, should the Patent Office find Applicants to be in error, please charge any deficiencies and credit any overpayment to Deposit Account No. 13-0201, referencing Atty. Docket No. 09688.1000.

Respectfully submitted,

By:

  
Edward J. Lynch  
Registration No. 24,422  
Attorney for Applicants

Coudert Brothers LLP  
600 Beach Street, 3<sup>RD</sup> Floor  
San Francisco, CA 94109  
**Direct Dial: (415) 351-5708**  
Facsimile: (415) 409-7400



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/002,406	11/01/2001	3763	0.00	9688-1000	2	17	3

CONFIRMATION NO. 1008

## FILING RECEIPT



\*OC00000007339223\*

RECEIVED

JAN 25 2002

COUDERT BROTHERS LLP  
SAN FRANCISCO

Date Mailed: 01/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael Aita, Shorewood, WI;  
Milan Mursec, Milwaukee, WI;

## Assignment For Published Patent Application

Advanced Cardiovascular Systems, Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Foldable and remotely imageable balloon



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,406	11/01/2001	Michael Aita	9688-1000

CONFIRMATION NO. 1008

RECEIVED

FORMALITIES LETTER

JAN 25 2002



COUDERT BROTHERS LLP  
3rd Floor  
600 Beach Street  
San Francisco, CA 94109-1312

COUDERT BROTHERS LLP  
SAN FRANCISCO

Date Mailed: 01/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/27/2002 BNGUYEN1 00000007 10002406

01 FC:201 370.00 OP  
02 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

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\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2022E0-9042000T